

# MAGNETIC NUCLEAR FUSION AND FAST ION DRIVEN ALFVÉN INSTABILITIES

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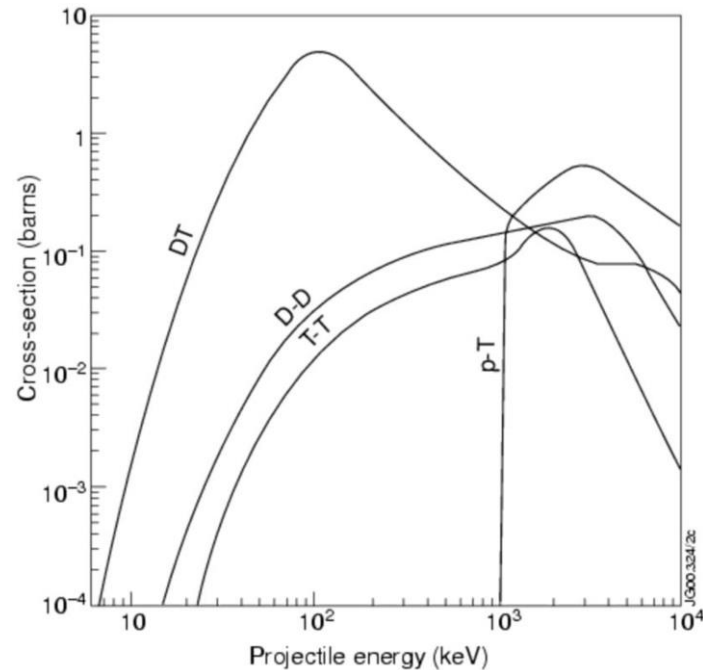


**S.E.Sharapov, BSC, Barcelona, 2 November 2017**

# OUTLINE

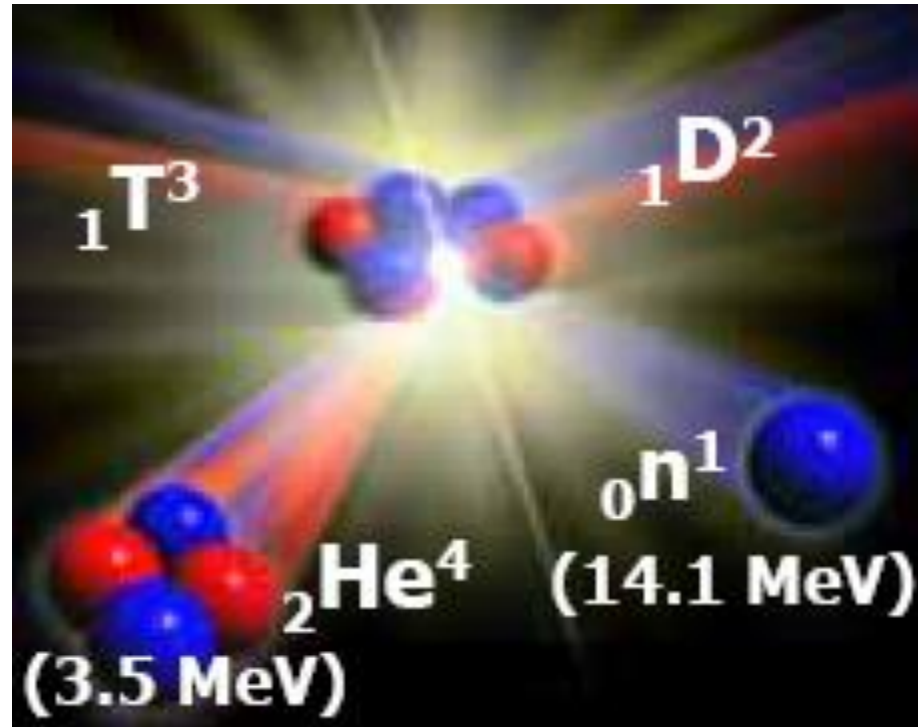
- Nuclear fusion
- Magnetic confinement of plasma
- Three main avenues of magnetic nuclear fusion
- Burning DT plasmas and the problem of fusion-born ions
- Fast ion-driven Alfvénic instabilities: experiment and modelling
- Summary

# NUCLEAR FUSION



- Nuclear Fusion powering the stars and the Sun quite surprisingly is possible on Earth and the aim is to make it available for energy producing
- This is thanks to quite large cross-section (a measure of the ability to fuse) of D-T reaction at plasma temperatures 10-20 keV (corresponding the peak at 100 keV in the rest frame where only deuteron is moving in Figure above).

## NUCLEAR FUSION OF HYDROGEN ISOTOPES D&T



- Nuclear fusion reaction  $\text{D}+\text{T} = \text{He} + \text{n} + 17.6 \text{ MeV}$  of hydrogen isotopes deuterium (D) and tritium (T) is the “easiest” to access.

## ENVIRONMENTAL ADVANTAGES OF D-T FUSION

- Deuterium is naturally abundant (0.015% of all water), Tritium must be obtained from lithium,  ${}^6\text{Li} + n = \text{T} + {}^4\text{He}$ . **Raw materials are water & lithium.**
- To generate **1GW for 1 year** (equivalent to a large industrial city):

COAL: 2.5 Mtonnes – produces 6 Mtonnes  $\text{CO}_2$ ;

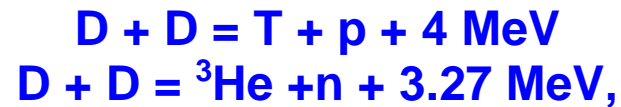
FISSION: 150 tonnes U – produces several tonnes of fission waste;

FUSION: **1 tonne Li + 5 Mlitres water.**

- Fusion gives no “greenhouse” gasses.
- Fusion reactor structure will become activated but will decay to a safe level in < 100 years. Tritium is radioactive: half life is 13 years.
- No plutonium or long-lived (thousands of years) active waste from fuel cycle.

## D-D and D-<sup>3</sup>He NUCLEAR FUSION

- Other fusion reactions used in present day machines to simulate the D-T reaction, which may become essential in future on their own:



- Fuel for D-D fusion is Deuterium only, which is naturally abundant (0.015% of all water)
- Fuel for D-<sup>3</sup>He is Deuterium and very rare <sup>3</sup>He. This can be found in significant quantities on the Moon or obtained from nuclear reactors

# PLASMA

- How to make the nuclear forces work? Nuclei of D and T must approach each other to a “nuclear” distance  $\sim 10^{-12}$  cm, but they **need to overcome the Coulomb electrostatic force** between two positive nuclei!
- The solution: provide the colliding nuclei with kinetic energy larger than the Coulomb potential energy, i.e. the fuel must be hot enough. Optimum fusion rate for D-T is at  $T_D \approx T_T \approx 10\text{-}20$  keV (100-200 Mdeg)
- At that temperature, the hot DT fuel **is a plasma** – a mixture of positively charged nuclei (“ions”) and negatively charged electrons

<b>— Increasing Temperature —&gt;</b>						
<b>Solid</b>	<b>→</b>	<b>Liquid</b>	<b>→</b>	<b>Gas</b>	<b>→</b>	<b>Plasma</b>
	<i>Melts</i>		<i>Vaporises</i>		<i>Ionises</i>	

- Plasmas conduct electricity and **can be controlled by magnetic fields**

## THERE ARE THREE CONDITIONS FOR FUSION

- Fuel must be hot enough,  $T_i \approx 10\text{-}20 \text{ keV}$ , to overcome Coulomb force between D and T;
- Hot plasma must be insulated from walls  
Energy confinement time  $\tau_E = \text{Plasma energy} / \text{Heat loss}$  is high enough

Plasma with energy  $W = n T V$  ( $V$  is the volume of plasma) cools down as

$$dW/dt = - W / \tau_E$$

in the absence of any heating sources

- Fuel density  $n_D$  and  $n_T$  must be high enough that fusion reactions occur at a suitable rate. Maximum density is limited by impurities and instabilities



## SELF-SUSTAINING FUSION REACTION

- Fusion **alpha-particles** (20% of fusion energy,  $P_\alpha = 0.2 P_{\text{FUSION}}$ ) heat the plasma and **balance heat loss**, i.e. the energy balance for steady-state is

$$dW/dt = -W/\tau_E + P_\alpha = 0$$

- **Neutrons** (80% of energy) breed new tritium and **generate steam**.
- The “ignition” condition for self-sustaining fusion reaction

$$n T \tau_E > 5 \times 10^{21} \text{ m}^{-3} \text{ keV s } (\approx 10 \text{ atm s})$$

# POSSIBLE METHODS OF FUSION PLASMA CONFINEMENT

**Gravity** (Sun and stars) – works well but dimensions are too large;

**Inertial** (Hydrogen bomb, lasers or beams) – works well, needs pressure  $10^{12}$  atm for very short times  $10^{-11}$  s.

Largest H-bomb tested was 10 x [all explosive used in 2<sup>nd</sup> World War]

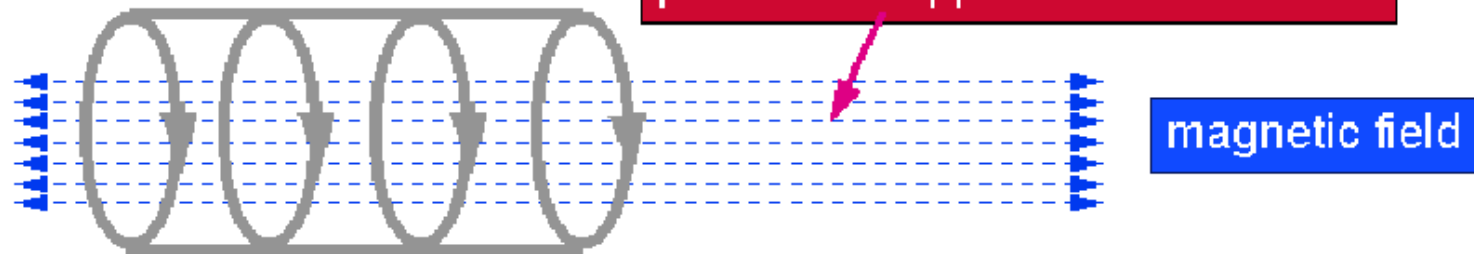
**Magnetic** – few atms x few seconds, plasma is confined by magnetic field B.

## THE IDEA OF MAGNETIC CONFINEMENT:

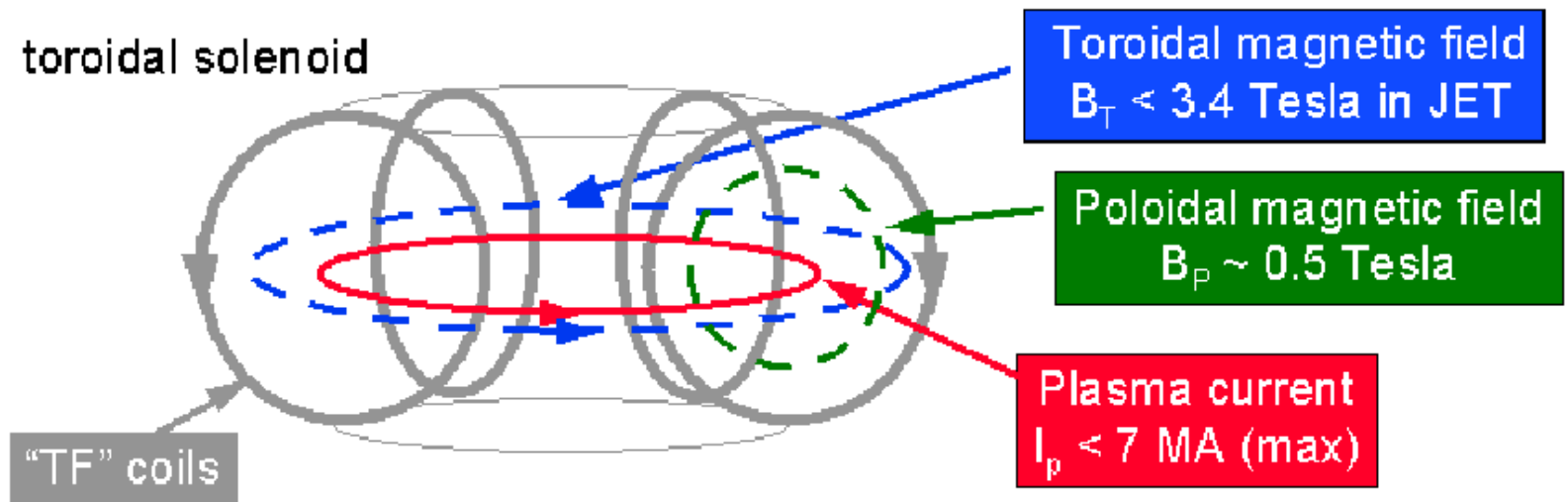
- In the presence of strong magnetic field, charged particles of plasma are trapped on helical orbits attached to magnetic field lines

# MAGNETIC CONFINEMENT OF PLASMA

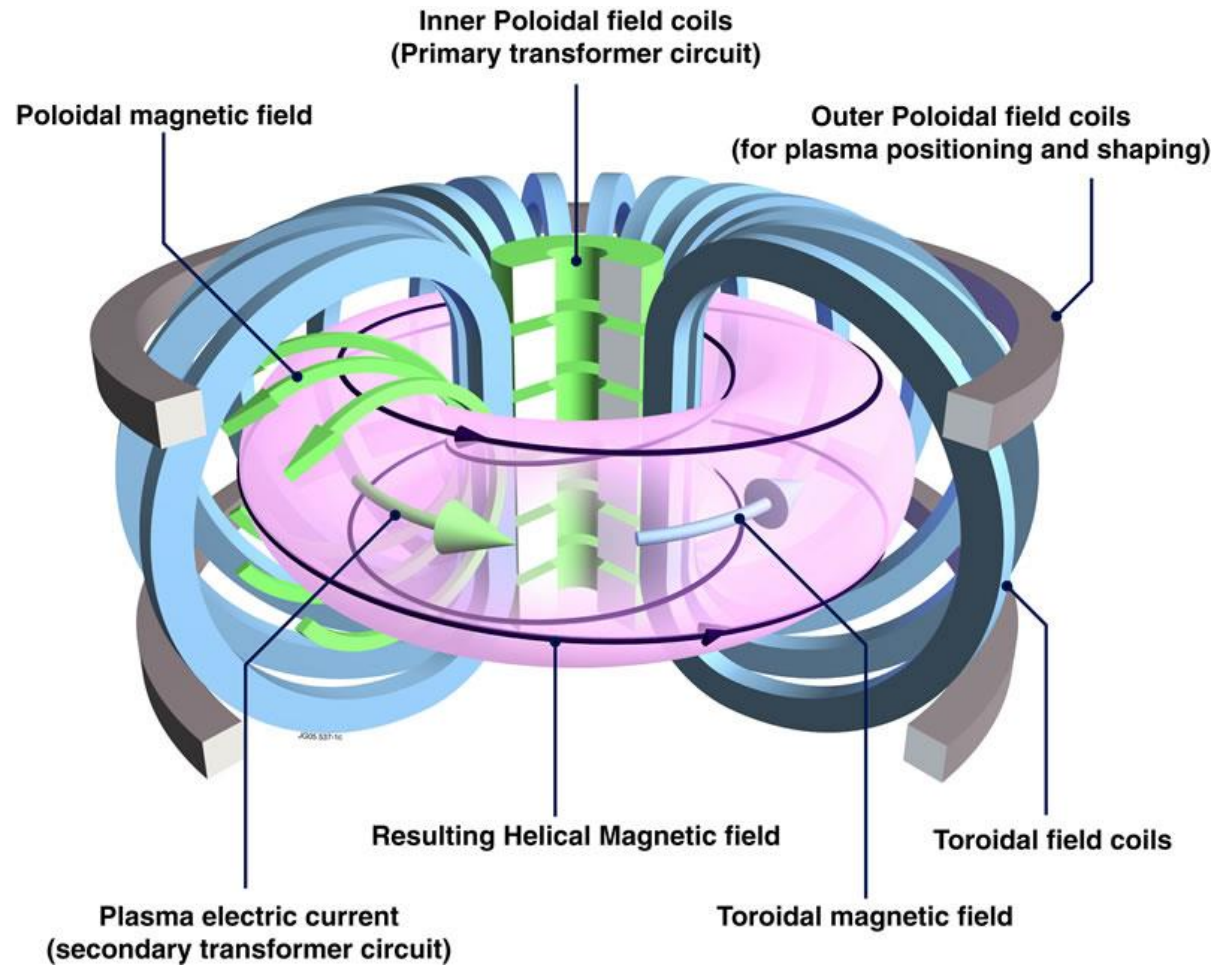
straight solenoid



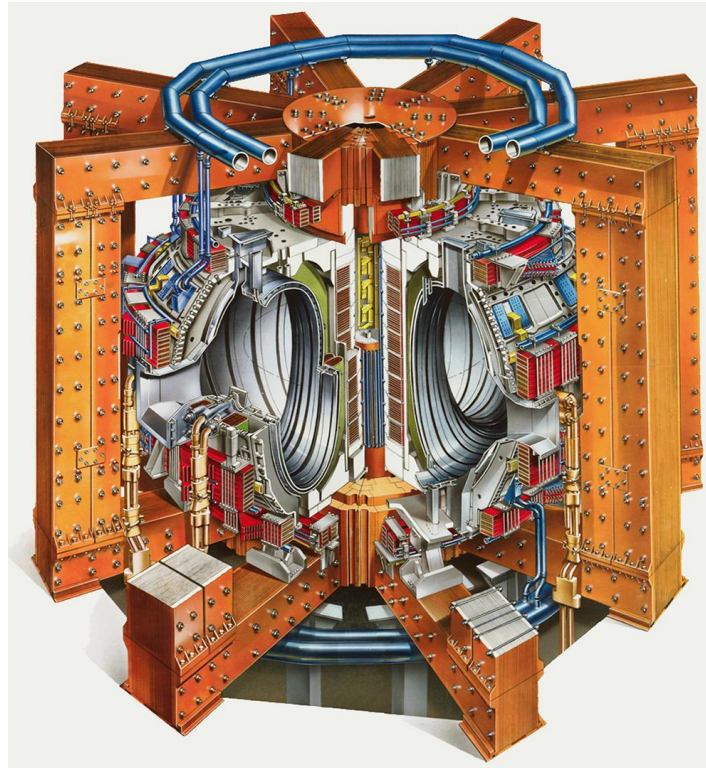
toroidal solenoid



# THE COILS

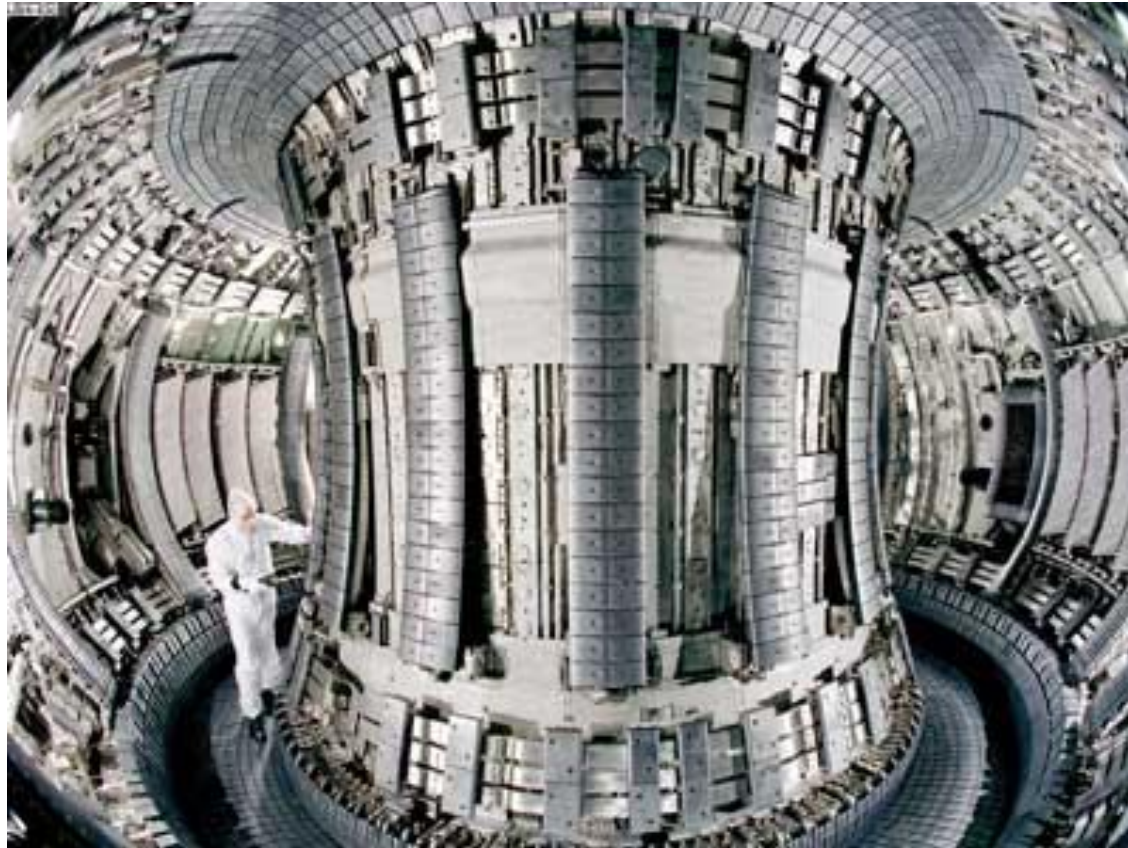


## TOKAMAK JET (JOINT EUROPEAN TORUS)



**Volume = 100 m<sup>3</sup>; B<sub>max</sub> = 4 T; I<sub>max</sub> = 7 MA; P<sub>FUS</sub> = 16 MW**

# JOINT EUROPEAN TORUS



## WAYS OF ACHIEVING IGNITION IN MAGNETIC FUSION

- The “ignition” condition for self-sustaining fusion reaction

$$n T \tau_E > 5 \times 10^{21} \text{ m}^{-3} \text{ keV s } (\approx 10 \text{ atm s})$$

- The ignition criterion for magnetic fusion can be better expressed via  $B$  and  $\beta = P_{\text{plasma}}/P_{\text{magnetic}} = 4\mu_0(nT)/B^2$  as

$$\beta \tau_E B^2 > 4 \text{ T}^2 \text{ s}$$

Three main avenues exist for magnetic fusion:

1) Increasing energy confinement time  $\tau_E$

2) Increasing magnetic field  $B$

3) Increasing  $\beta$





## INCREASING ENERGY CONFINEMENT TIME

- 4) Increasing  $\tau_E$ : **larger size** fusion reactors since energy balance for steady-state is determined by  $P_\alpha = 0.2 P_{\text{FUSION}}$  :

$$\frac{dW}{dt} = -\frac{W}{\tau_E} + P_\alpha = 0$$



$$P_\alpha = \frac{W}{\tau_E} = nT \frac{V}{\tau_E}$$

- 5) For a desired power  $P_{\text{FUSION}}$ , achieving ignition via the increase of  $\tau_E$  means a **larger size** machine. For 1 GW power the volume must be  $V \approx 1000 \text{ m}^3$
- 6) Next step project ITER has  $V \approx 800 \text{ m}^3 \rightarrow$  will approach the volume needed
- 7) Note: Largest volume present day machine is JET  $\approx 100 \text{ m}^3$ . This means that so far tokamak experiments are done with **sub-critical volumes**





## INCREASING MAGNETIC FIELD

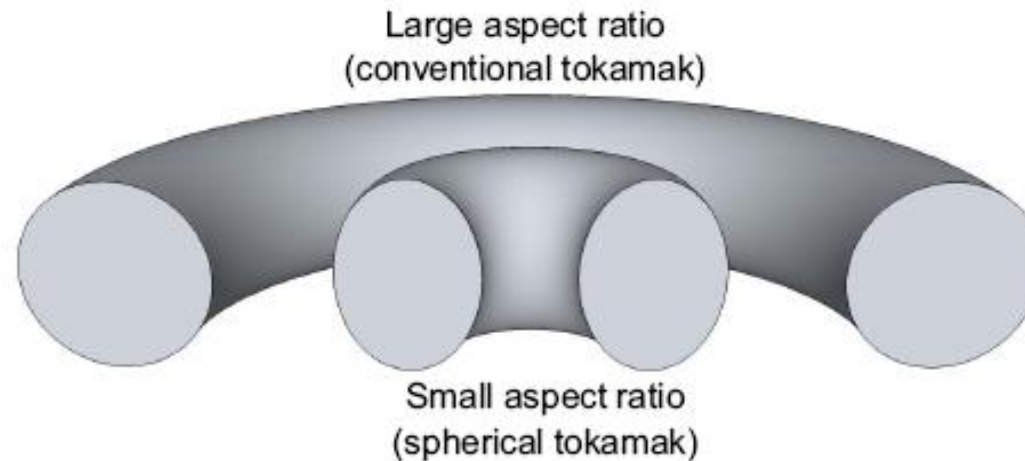
- Increasing **B**: technologically challenging to obtain  $B > 5 \text{ T}$  !!!

Present-day **Alcator C-MOD (US)**,

Next step: **IGNITOR (Italy)**, **FIRE (US)**

## INCREASING BETA

- Beta is **limited by MHD instabilities** at a level of few %. In contrast to technological difficulties in the first two avenues above, this one is controlled by the “law of nature”.
- **Spherical tokamaks** with  $a/R \approx 1$  achieve volume averaged  $\langle \beta \rangle \approx 40\%$   
Present day **MAST (UK)**, **NSTX (US)**, next step project, e.g. **STPP (UK)**



## SUMMARY OF PROGRESS

$n T \tau_E$  (in D-D plasma)

- 1970 – 25,000 times too small for ignition
- 1983 – 100 times too small
- 1995 – only 5 times too small

Fusion power (in D-T plasma)

- 1991 – JET – 1.7 MW (10% T; 10 MW heating)
- 1995 – TFTR – 10 MW (50% T; 40 MW heating)
- 1997 – JET – 16 MW (50% T; 22 MW heating)
- 



**CCFE**  
CULHAM CENTRE FOR  
FUSION ENERGY

S.E.Sharapov, BSC, Barcelona, 2 November 2017

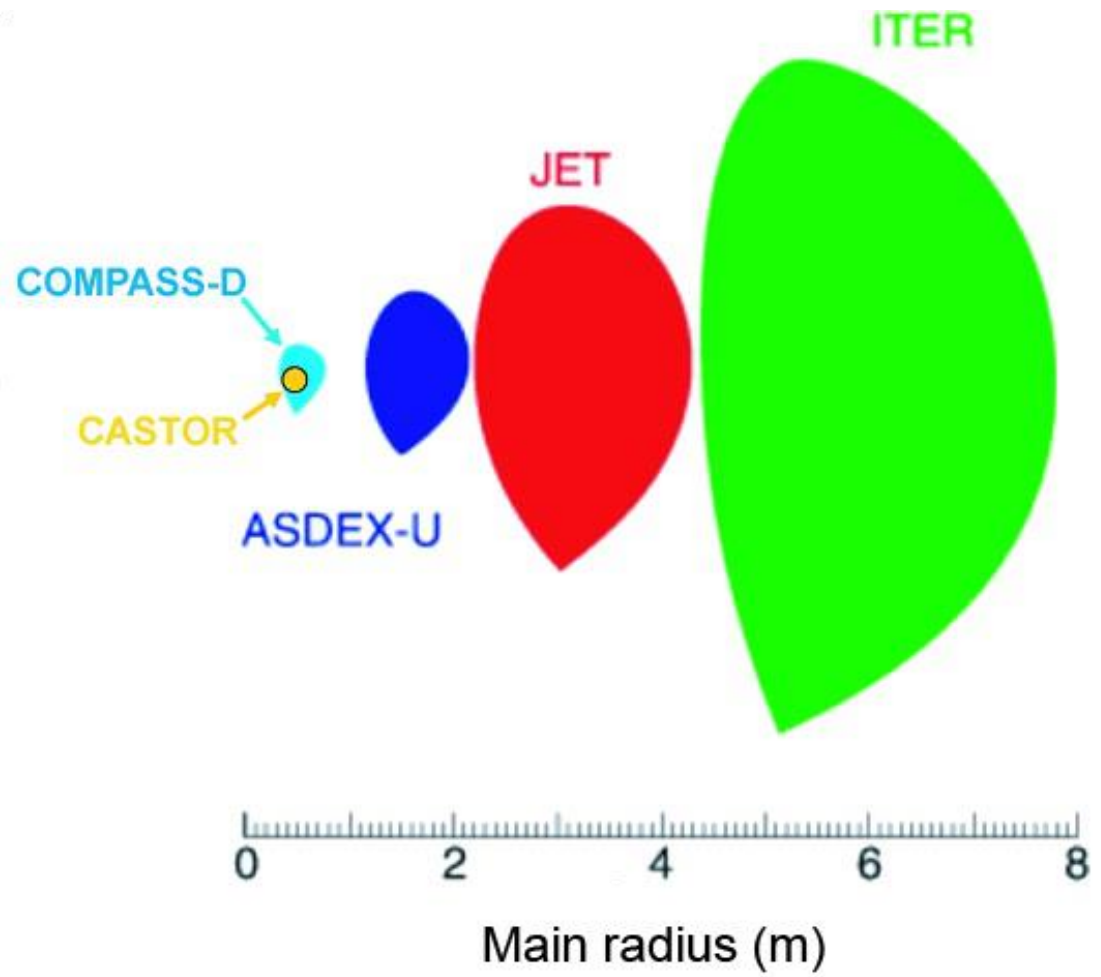


**THE NEXT STEP: ITER ACHIEVING  $Q=P_{\text{out}}/P_{\text{in}}=10$**

**(Being Built in Cadarache, France)**

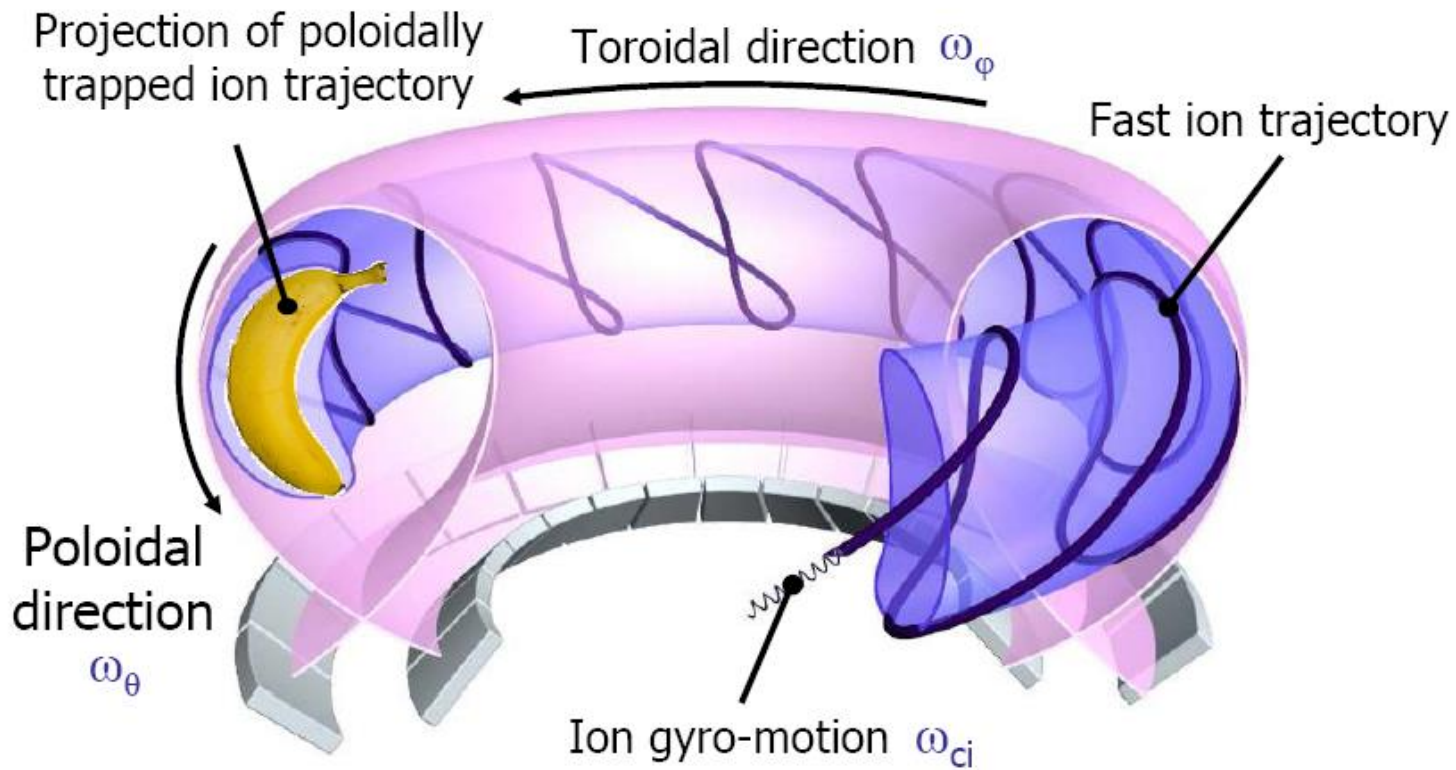


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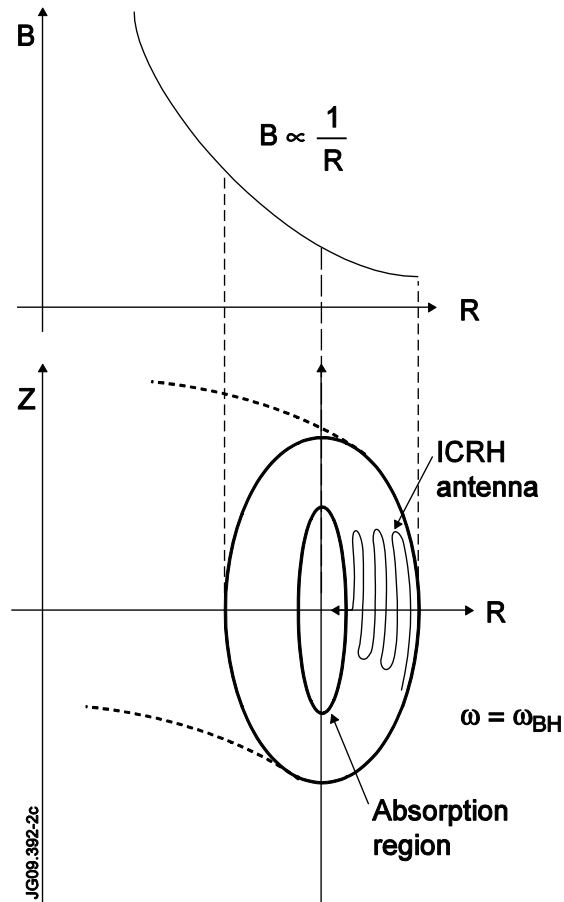
**AS BURNING PLASMA EXPERIMENT APPROACHES, WE HAVE TO BE  
CONFIDENT ABOUT CONFINEMENT OF  
IONS IN THE MeV ENERGY RANGE  
(FUSION-BORN ALPHA-PARTICLES HAVE  $E=3.5$  MeV)**

## FAST PARTICLE ORBITS: TRAPPED ORBITS

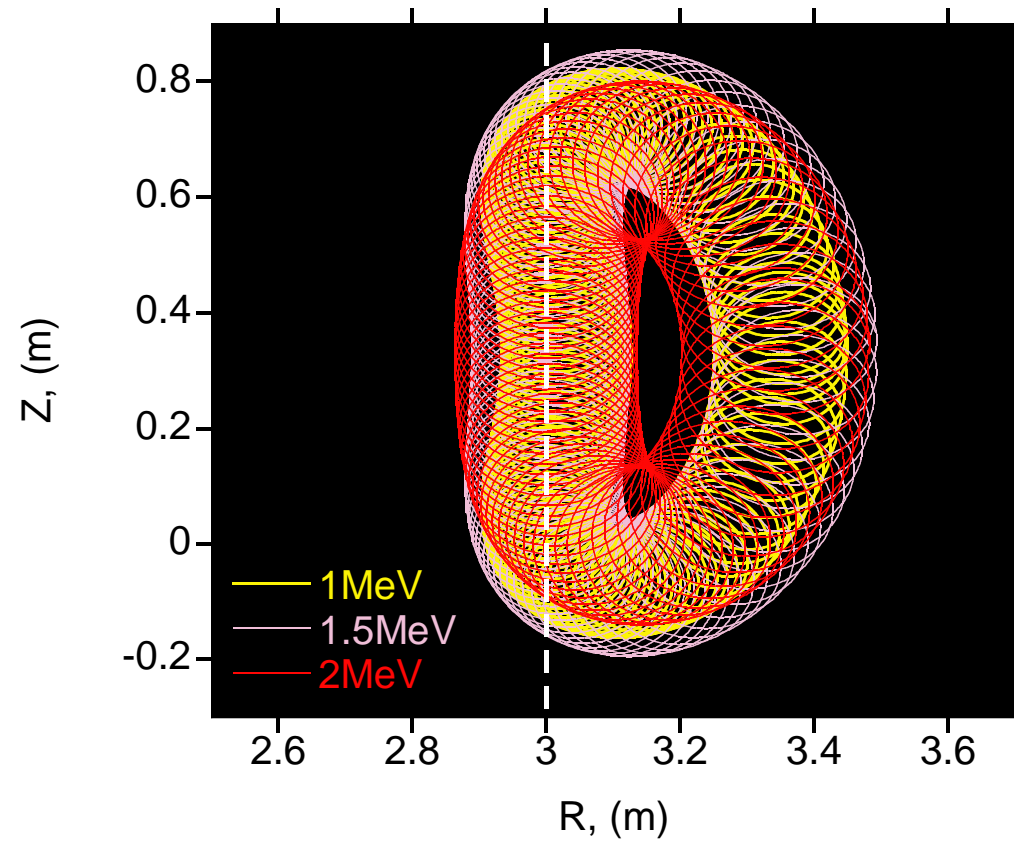




# MAIN TECHNIQUE OF OBTAINING MeV-RANGE IONS IS ION CYCLOTRON RESONANCE HEATING



## ORBITS OF ICRH-ACCELERATED IONS IN JET



## ENERGETIC IONS IN JET VERSUS ALPHAS IN ITER

Machine	JET	JET	JET	JET	ITER
Type of fast ions	Hydrogen	He <sup>3</sup>	He <sup>4</sup>	Alpha	Alpha
Source	ICRH tail	ICRH tail	ICRH tail	Fusion	Fusion
Mechanism	minority	minority	3 <sup>rd</sup> harm. NBI	DT nuclear	DT nuclear
$V_f(0)/V_A(0)$	≈2	≈1.5	≈1.3	1.6	1.9
$\tau_S$ (s)	1.0	0.9	0.4	1.0	0.8
$P_f(0)$ (MW/m <sup>3</sup> )	0.8	1.0	0.5	0.12	0.55
$n_f(0) / n_e(0)$ (%)	1.0	1.5	1.5	0.44	0.85
$\beta_f(0)$ (%)	2	2	3	0.7	1.2
$\langle \beta_f \rangle$ (%)	0.25	0.3	0.3	0.12	0.3
$\max  R\beta'_f $ (%)	≈5	≈5	5	3.5	3.8

Ratio of on-axis velocities  $V_f(0)/V_A(0)$ , slowing down time,  $\tau_S$ , heating power per volume,  $P_f(0)$ , ratio of the fast ion density to electron density,  $n_f(0) / n_e(0)$ , on-axis fast ion beta,  $\beta_f(0)$ , volume-averaged fast ion beta,  $\langle \beta_f \rangle$ , and normalised radial gradient of fast ion beta,  $\max |R\beta'_f|$ , in JET vs. ITER projected parameters.

**ALFVÉN INSTABILITIES:  
LARGEST UNCERTAINTY IN CONFINEMENT OF FAST IONS**

## ALFVÉN WAVES IN FUSION PLASMA

- **Alpha-particles** ( $\text{He}^4$  ions) are born in deuterium-tritium nuclear reactions with birth energy 3.52 MeV, i.e. these fusion-born ions are *super-Alfvénic*,

$$V_{Ti} \ll V_A = B / (4\pi\rho)^{1/2} \leq V_\alpha \ll V_{Te}$$

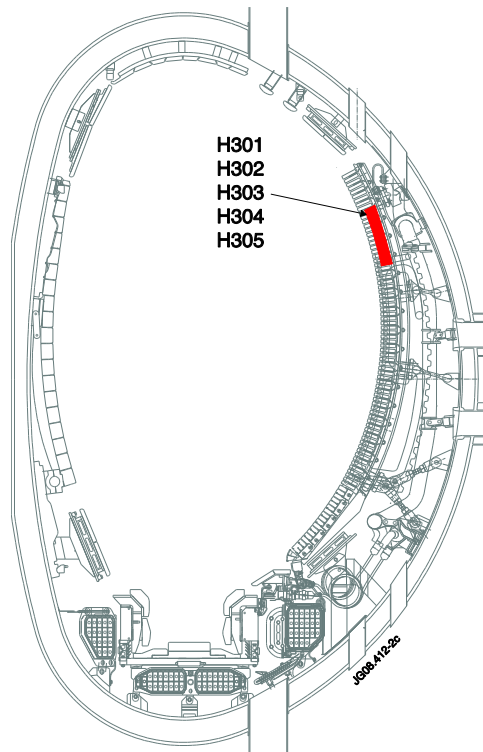
- During slowing-down of alpha-particles, they cross the **resonance** condition

$$V_A = V_{\parallel\alpha}$$

and may excite **Alfvén waves**

- Free energy source: radial gradient of alpha-particle pressure. The instability results in radial re-distribution /losses of alpha-particles if the Alfvén wave amplitude is high.
- On present day tokamaks, fast particles produced by ICRH and Neutral Beam Injection (NBI) do excite numerous Alfvén instabilities

# DETECTING ALFVÉN INSTABILITIES WITH MIRNOV COILS



*JET cross-section showing the position and directivity of five Mirnov coils separated in toroidal angle*

- Mirnov coils are used for measuring magnetic flux

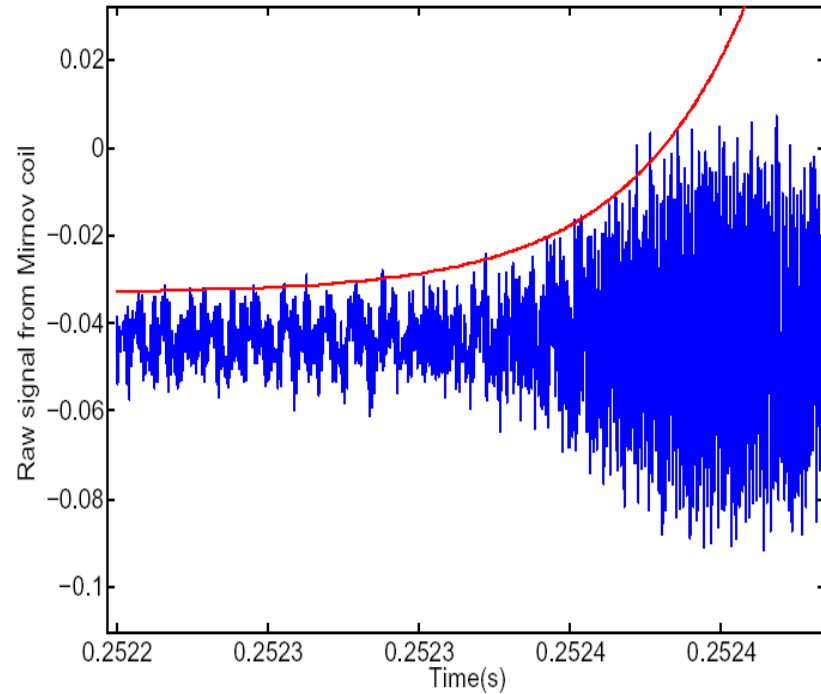
$$\frac{\partial}{\partial t} \delta B_g^{edge} \cong \omega \cdot \delta B_g^{edge}$$

- The coils are VERY sensitive for high frequencies, e.g. for values of  $\omega \cong 10^6 \text{ sec}^{-1}$  perturbed fields

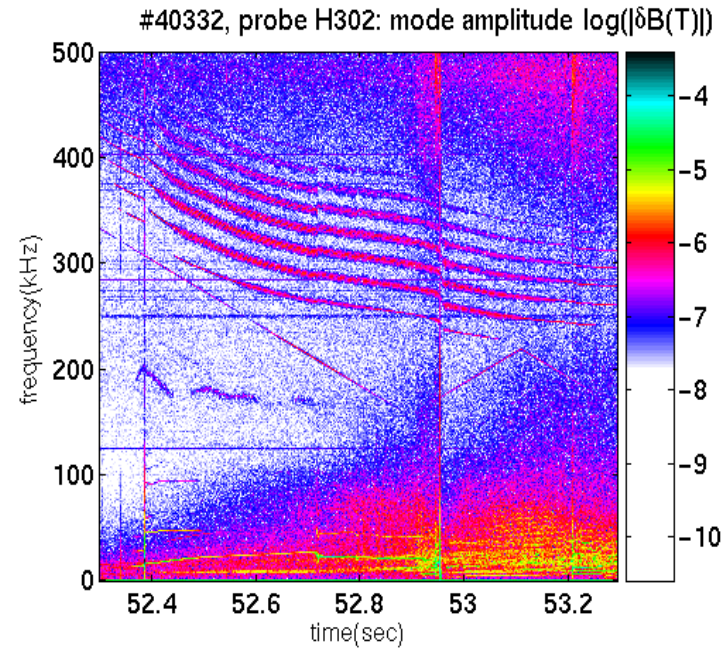
$$\left| \delta B_g^{edge} / B_0 \right| \cong 10^{-8} \text{ are measured}$$

- Sampling rate 1 MHz allows measurements of AE up to 500 kHz to be made
- The coils are well calibrated, i.e. give same amplitude and phase response to the same test signal

# TYPICAL MIRNOV COIL DATA

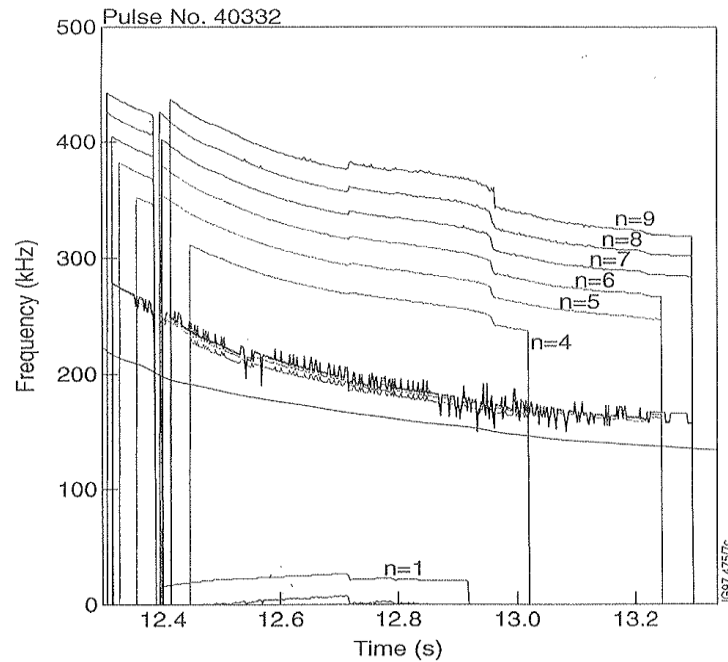


*Raw data from a Mirnov coil just outside the plasma*

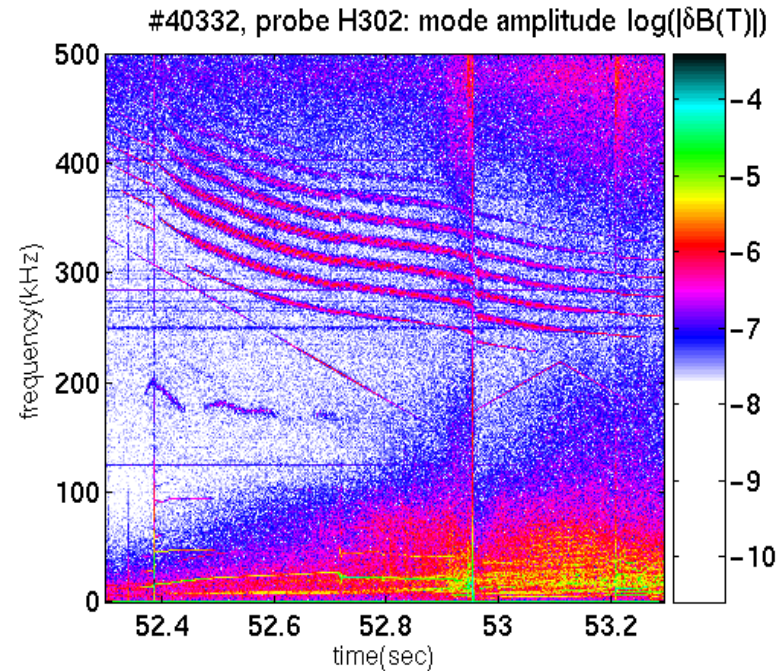


*Magnetic spectrogram (Fourier decomposition as function of time) of a Mirnov signal*

## COMPUTED VERSUS OBSERVED TAEs



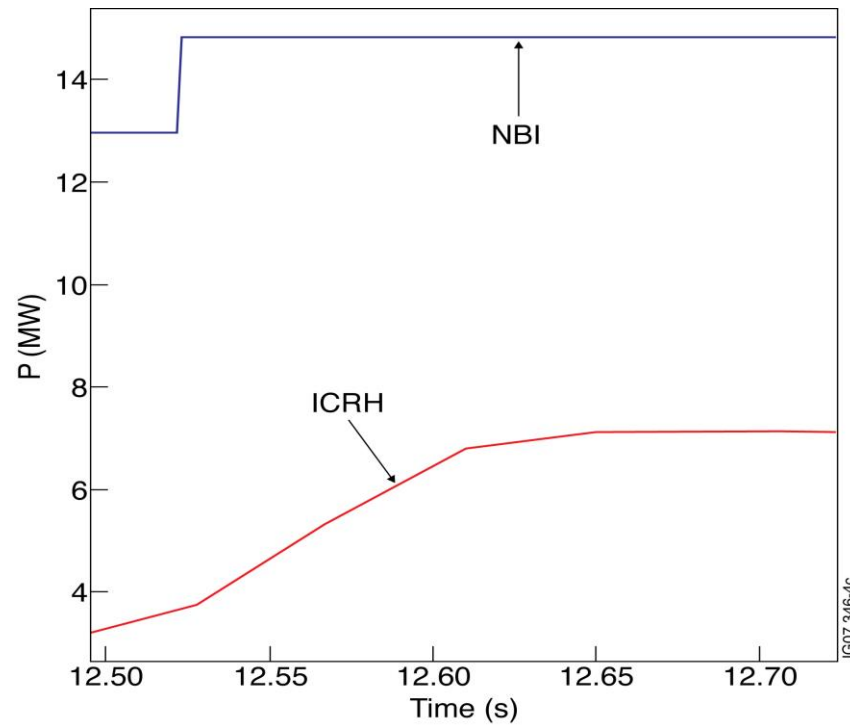
Eigenfrequencies of TAEs with  $n=4\dots 9$  computed for equilibrium in JET discharge #40332. Added Doppler shift matches the experiment



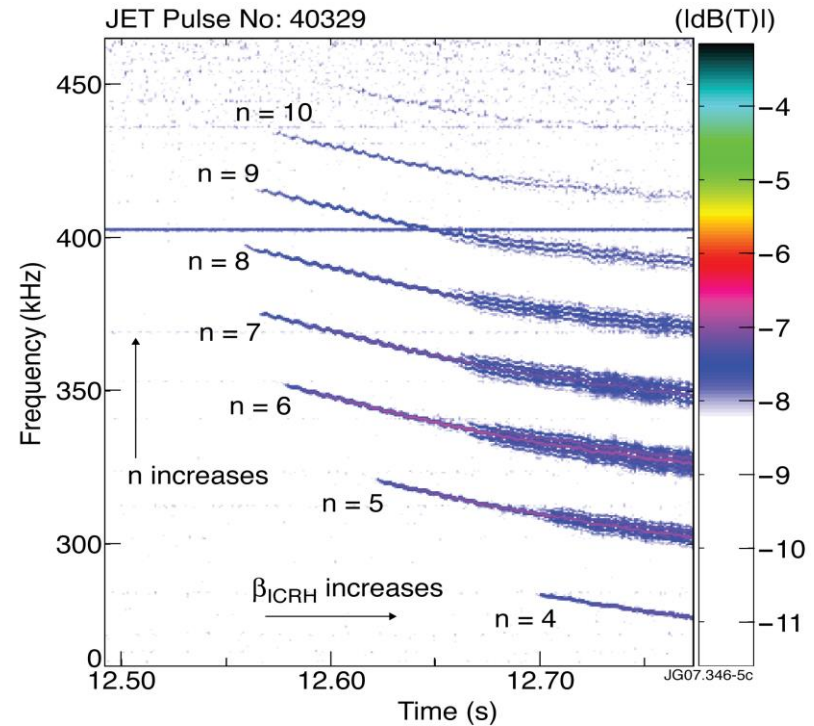
Discrete spectrum of TAE observed in JET discharge #40332. Plasma starts at  $t=40$  sec. Frequency changes due to plasma density increase,  $f \sim B/\sqrt{n_i M_i}$ .



# TAE EXCITATION AT INCREASING FAST ION PRESSURE



Power waveforms of ICRH driving TAE and NBI (NBI provides damping)



TAEs with toroidal mode numbers from n=4 to n=10 are seen separated by frequency ~ 25 kHz

# EXAMPLE OF ENERGETIC ION RE-DISTRIBUTION DUE TO ALFVÉN PERTURBATIONS IN JET PLASMA

**Example from** *T.Gassner et al., Phys. of Plasmas 19 (2012) 032115*

## ICRH ACCELERATION OF D IONS IN D PLASMA

- Parameters of JET discharge # 74951:  $B=2.24$  T,  $I_{PLA}=2$  MA,  $R_0=2.9$  m,  $a\sim 1$  m
- Deuterium plasma
- Deuterium NBI at energy 110 keV, power 1.5 MW, 3 MW, 4.5 MW
- ICRH at 51 MHz ( $3^{\text{rd}}$  harmonic of D cyclotron frequency) power 3 MW

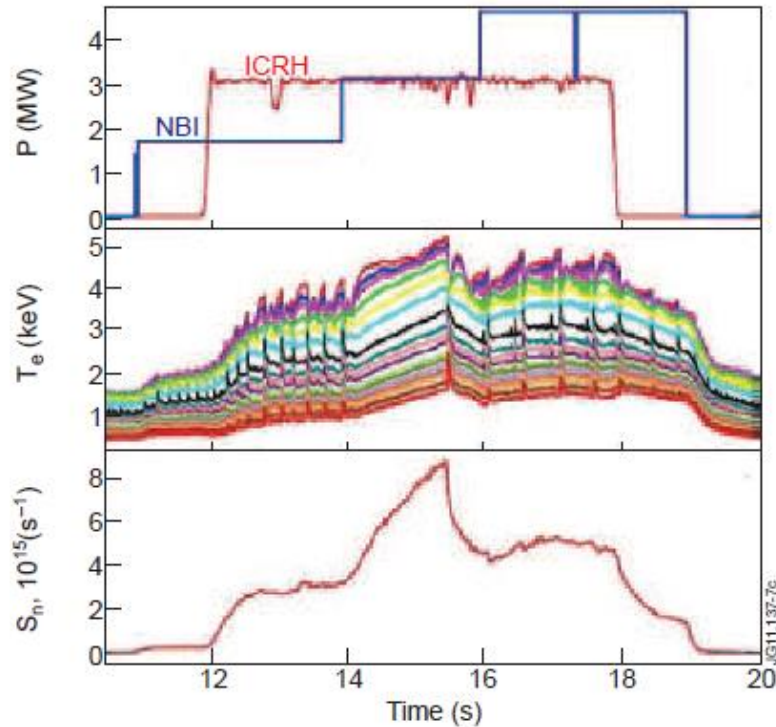
### Fast particle diagnostics:

- Neutron spectrometer TOFOR measuring  $f_n(E)$  for DD neutrons;
- 2D  $\gamma$  -ray camera measuring profile of  $\gamma$ 's from  $D(E>700 \text{ keV})+^{12}\text{C}\rightarrow\text{C} + \text{p} + \gamma$  ;
- Fast ion loss detector (scintillator)

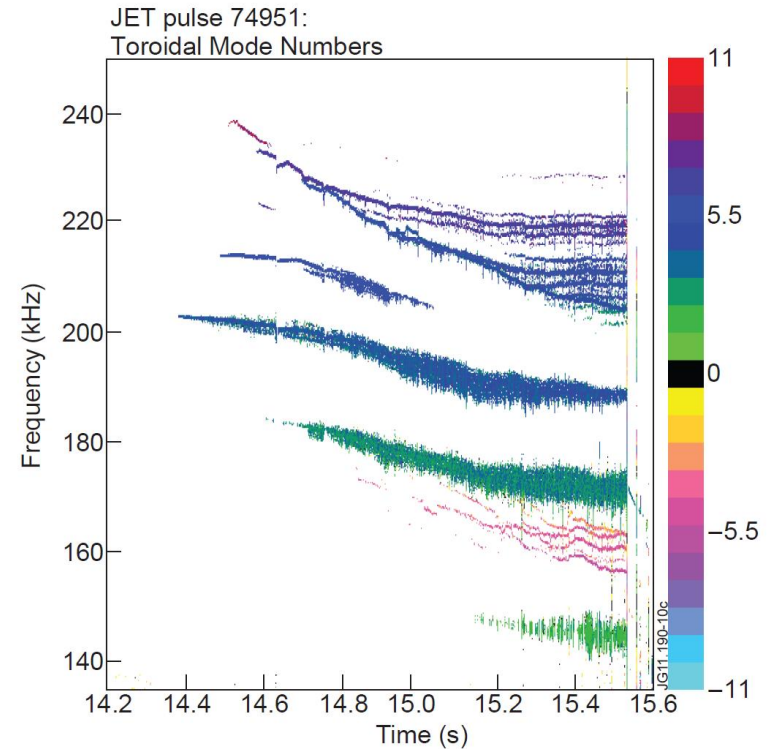
### MHD diagnostics:

- High-frequency Mirnov coils;
- Far infrared (FIR) interferometry detecting  $\delta n_{TAE}$  in plasma core.

# THE OBSERVATIONS



Top: power waveforms of ICRH and NBI;  
Middle: temporal evolution of  $T_e$  measured with multi-channel ECE;  
Bottom: the DD neutron rate.



TAE of different  $n$ 's detected with Mirnov coils during time preceding the sawtooth crash at 15.6 s

## DETECTION OF FAST ION RE-DISTRIBUTION DURING TAE

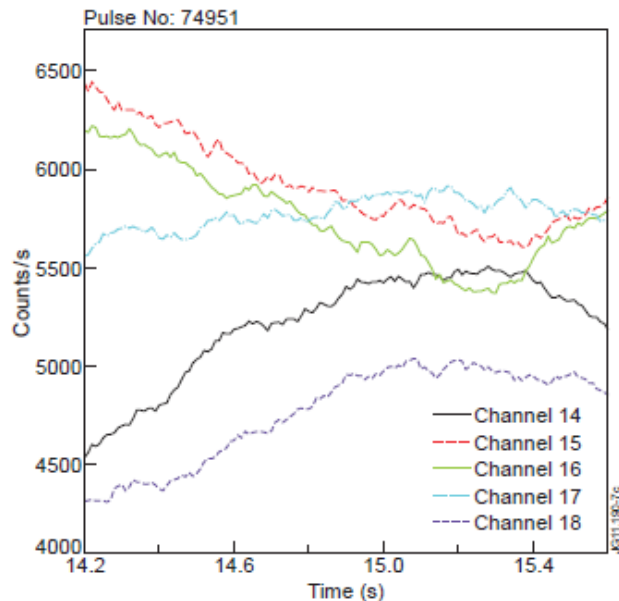


Figure: Redistribution of fast deuterons is observed in the gamma-ray signals for channels 14 - 18. Decreasing signal in central channels (15,16), increasing in outer channels(14,18). Gammas come from reaction  $^{12}\text{C}(d, p\gamma)^{13}\text{C}$

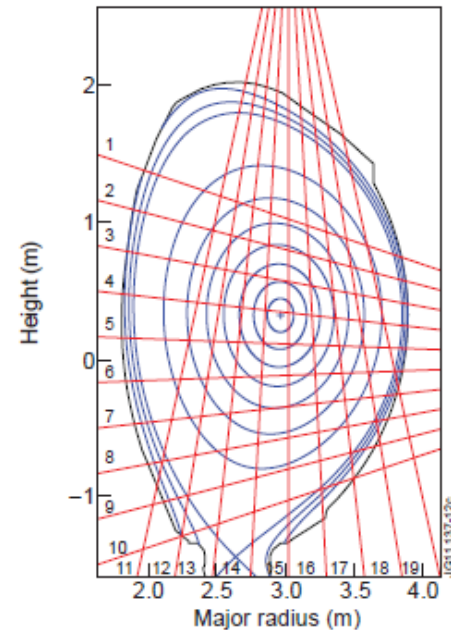
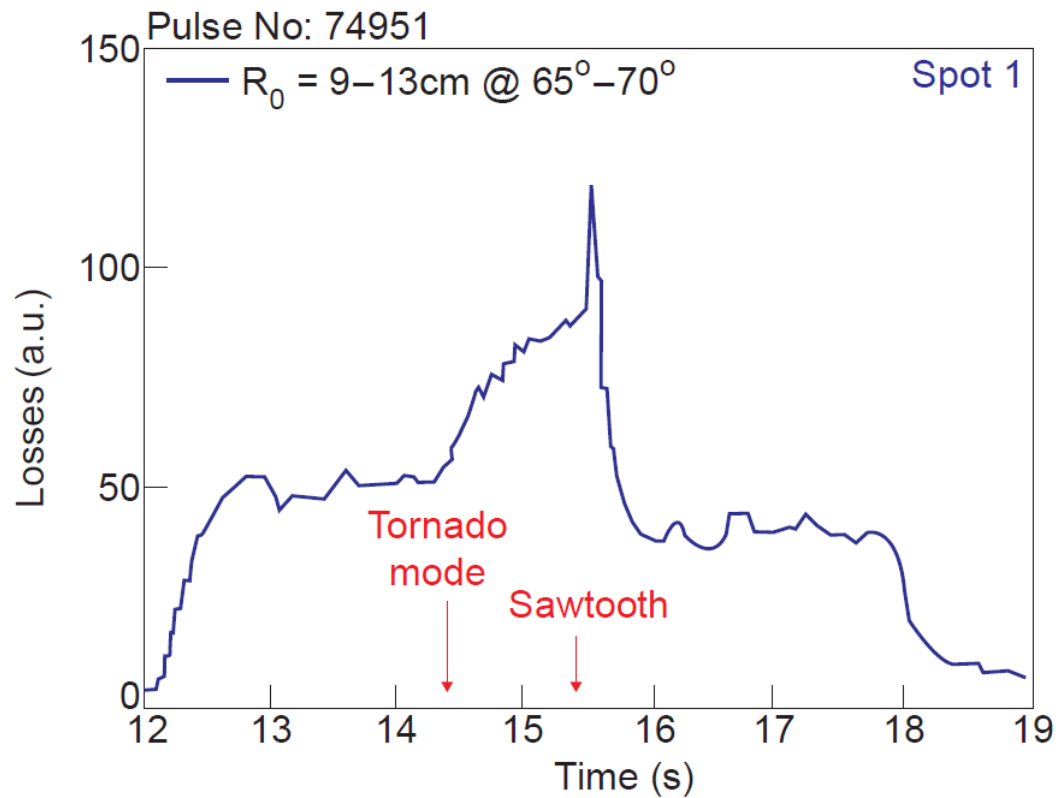
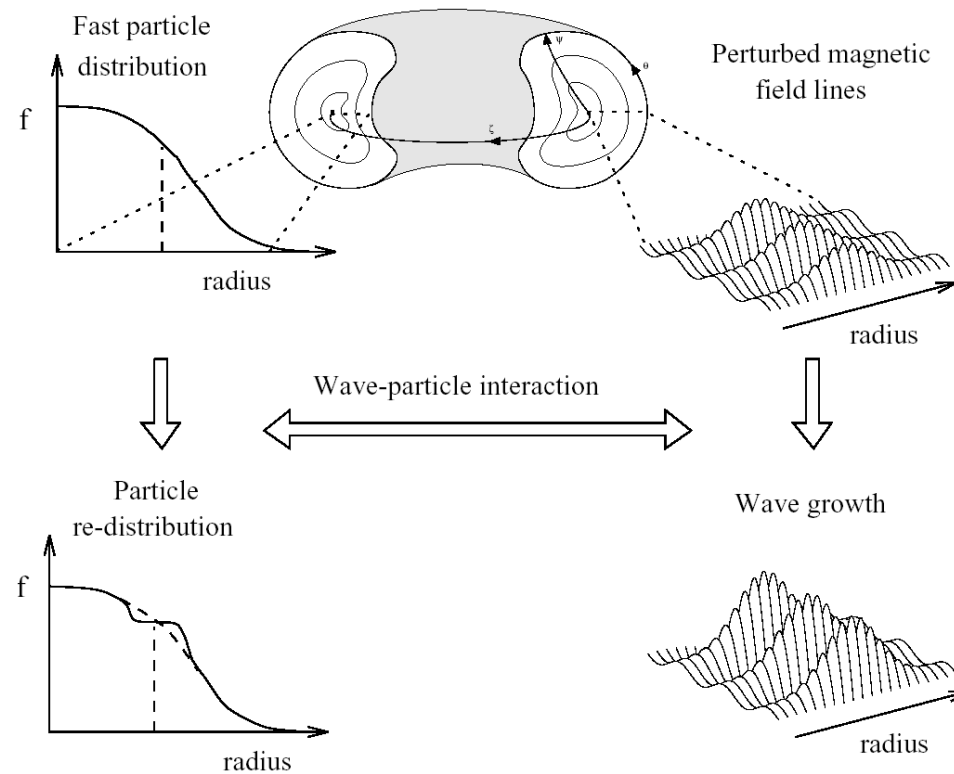


Figure: Lines of sight of the 2D gamma camera system on JET

# LOSSES OF FAST D IONS DETECTED WITH SCINTILLATOR DURING TAE



# MODELLING TAE-FAST ION INTERACTION (HAGIS CODE)



S.D.Pinches et al., *Computer Physics Communications* 111 (1998) 133

# FAST ION DISTRIBUTION FUNCTION

## Reconstruction of fast ion distribution

The distribution function of fast deuterons is modeled as product of three functions of constants-of-motion

$$f(E, P_\phi, \Lambda) = f_E(E) f_{P_\phi}(P_\phi) f_\Lambda(\Lambda)$$

- energy  $E$
- toroidal angular momentum  $P_\phi$
- normalized magnetic moment  
 $\Lambda \equiv \mu B_0 / E$



# RADIAL DISTRIBUTION OBTAINED FROM 2D GAMMA-RAYS

Unperturbed profile from 2D gamma-camera data. Distribution  $f(P_\phi)$  is **measured!**

## Profile matching

- Synthetic diagnostic module in HAGIS
- $f_E, f_\Lambda$  fixed
- Scan in  $P_\phi$ -profiles
- Choose best fit

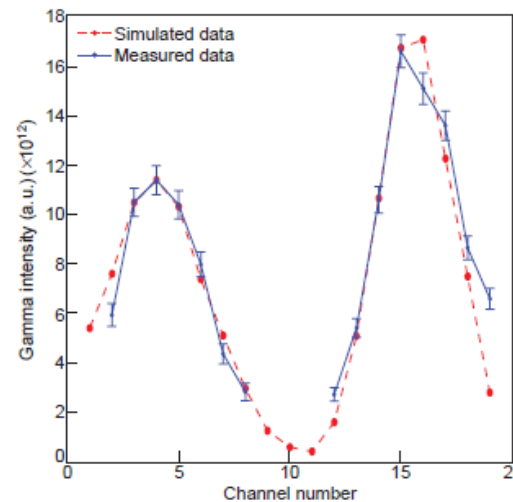
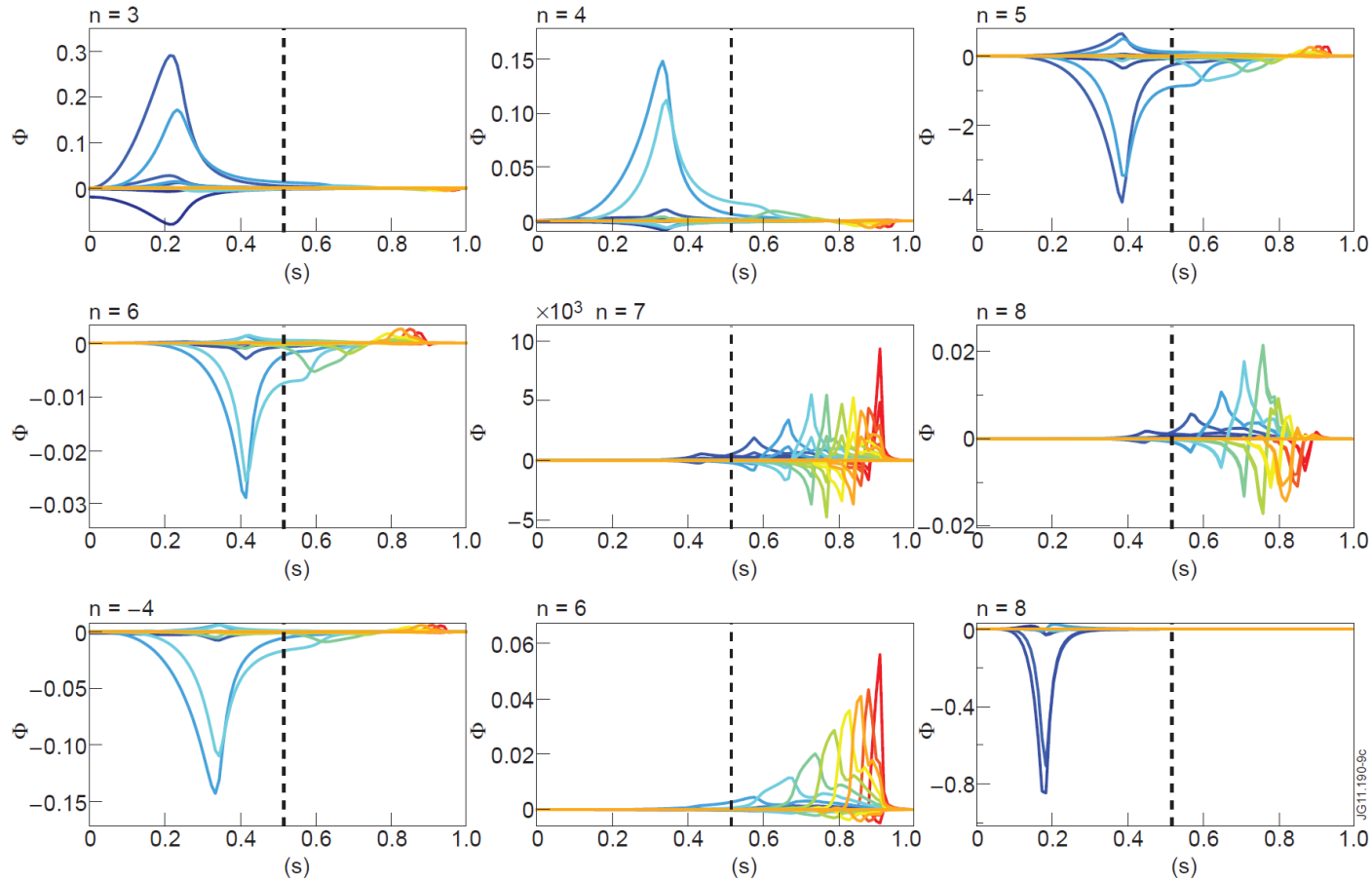


Figure: Line-integrated gamma-ray intensities (horizontal channels: 2-8, vertical channels: 12-19) at time 13.83 sec (solid line); simulated data for best fitting profile  $f_{P_\phi}$  (dashed line)

# COMPUTE ALL THE OBSERVED ALFVEN EIGENMODES



## HAMILTONIAN APPROACH FOR $\delta f$ IN THE HAGIS CODE

Trajectory of each individual macro-particle follows the Hamiltonian approach [White & Chance, Phys. Fluids 27 (10) 1984] leading to equations of the type:

$$\frac{\partial \psi_p}{\partial \mathcal{G}} = \frac{1}{D} \left[ I \frac{\partial \tilde{A}_\zeta}{\partial \mathcal{G}} - g \frac{\partial \tilde{A}_g}{\partial \mathcal{G}} \right]; \quad \frac{\partial \psi_p}{\partial \zeta} = \frac{1}{D} \left[ I \frac{\partial \tilde{A}_\zeta}{\partial \zeta} - g \frac{\partial \tilde{A}_g}{\partial \zeta} \right]; \quad \frac{\partial \psi_p}{\partial P_g} = \frac{g}{D}; \quad \frac{\partial \psi_p}{\partial P_\zeta} = -\frac{I}{D}$$

For the shear Alfvén modes, the assumption  $\tilde{\mathbf{A}} = \tilde{\alpha}(\mathbf{x}, t) \cdot \mathbf{B}_0$  is used;

Nonlinear code: for fixed eigenmode structure provided, the mode amplitude and phase are evolving through (schematically):

$$\frac{dA}{dt} = A_0 + \sum_{particles} (...) - \gamma_{damp} A; \quad \frac{d\varphi}{dt} = \varphi_0 + \sum_{particles} (...),$$

for **unchanged** mode structure

$\delta f$  technique is used for **deviation** from  $f_0$  by launching  $>10^5$  macro-particles



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# SELF-CONSISTENT TAE MODELLING

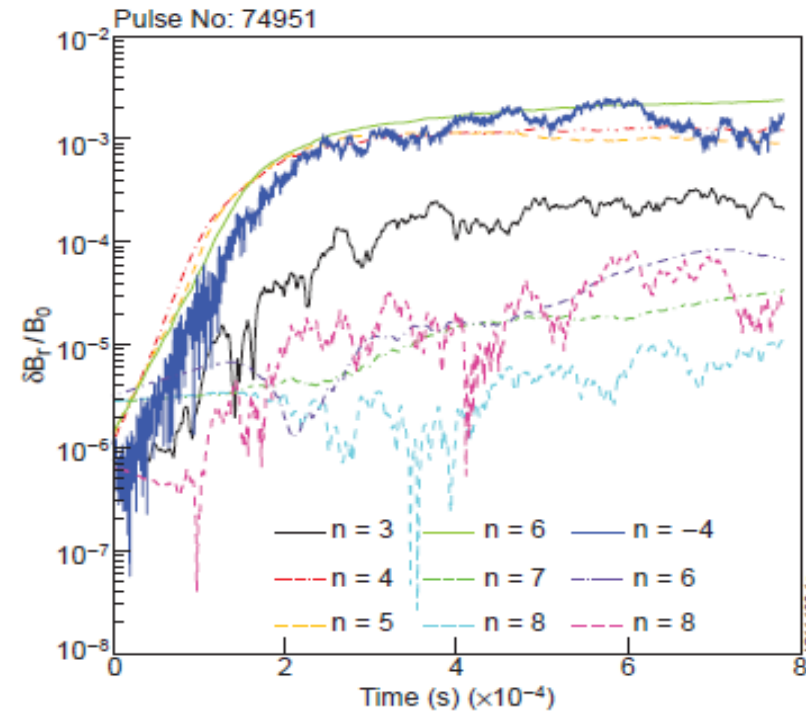


Figure: Logarithmic plot of the amplitudes  $\delta B_r / B_0$

# FAST DEUTERON RE-DISTRIBUTION

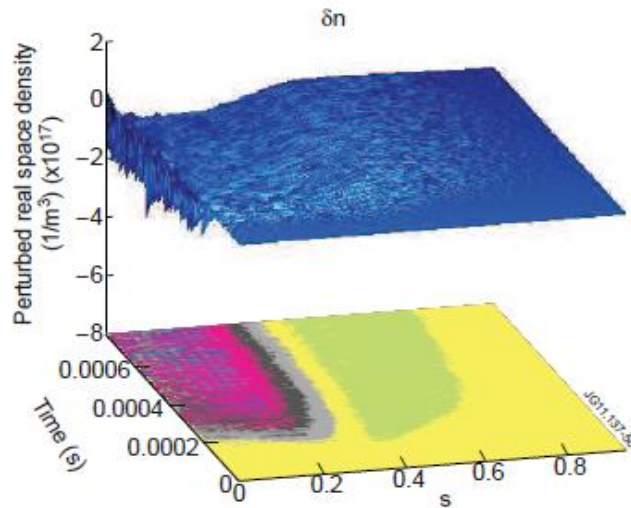


Figure: Perturbed real space particle density as a function of the radial coordinate  $s$

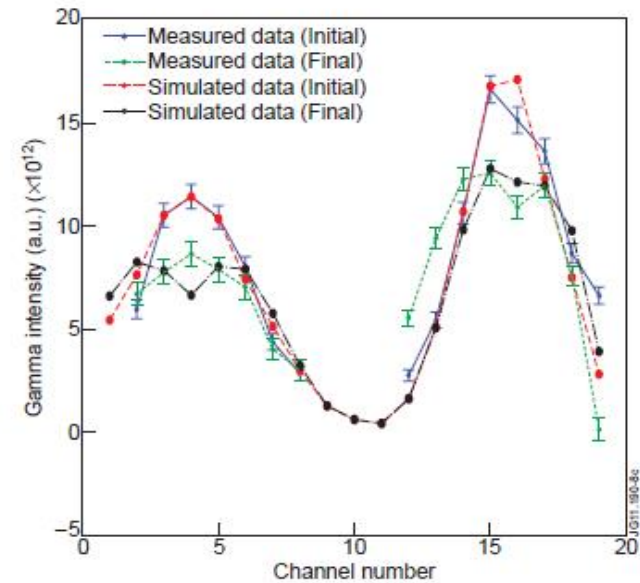


Figure: Gamma intensity: measured data before (blue) and after (green) redistribution; simulated gamma intensity in red (initial data) and black (after redistribution)

## SUMMARY

- D-T fusion: for generating 1 GW power for 1 year one needs **1 tonne Li + 5 Mlitres water**
- To overcome the Coulomb electrostatic force between two positive nuclei D and T, high kinetic energy is needed corresponding to 10-20 keV → **plasma**
- Plasma can be confined by **magnetic field** in, e.g. toroidal solenoid
- The triple-product ignition criterion  $n T \tau_E > 5 \times 10^{21} \text{ m}^{-3} \text{ keV s}$  for magnetic fusion yields  **$\beta B^2 \tau_E > 4 \text{ T}^2 \text{ sec}$**
- Three main avenues are being developed for approaching ignited plasmas: **high- $\tau_E$**  (large volume), **high-B**, and **high- $\beta$**  (spherical tokamaks) machines

## SUMMARY (continued)

- As burning plasma experiment with **significant alpha-particle heating** approaches on ITER, studies of energetic ions similar to fusion-born alphas are being performed now
- ICRH is the best technique of generating the **MeV-range ions in present-day tokamaks**
- Alfvén instabilities driven by **super-Alfvénic fusion-born alphas** are an issue for all future tokamaks built in line with the three main avenues
- **Experimental observations** of energetic ion transport caused by Alfvénic instabilities are **typical** of present-day machines with fast ions
- **Modelling of alpha-particle transport/ losses in the presence of Alfvénic instabilities** is one of the major problems for successful control of the burning plasmas in future fusion experiments

